

Affix one passport-size colour photograph

APPLICATION FOR REGISTRATION OF UNIT TRUST SCHEME CONSULTANT ("UTS Consultant")

(please complete this form in BLOCK letters and tick (v) one where applicable)

Batch No: []

A. TYPE OF APPLICATION

[] Computerized Unit Trust Examination (CUTE)
(please complete all Sections)

Note : Candidate is required to pass CUTE prior to registration as UTS Consultant unless exempted from CUTE

[] Exemption for CUTE
(please complete all Sections except Section E & F)

- Category of Exemption: [] CEO, [] Director, [] Fund Manager, [] Financial Planner, [] Former UTS Consultant / Vary Registration

B. PERSONAL PARTICULARS OF THE APPLICANT

Name: (as in NRIC) [Grid]

Identification No: (New) [Grid]

Identification No: (Old) [Grid] Passport No. [Text] (for non-Malaysian)

Date of Birth: [Grid] Sex: [] Female [] Male Race: [Text] (please tick where applicable)

Mailing Address: [Grid] Post Code [Text] State [Text]

Tel: (Off/Handphone) [Grid] (House) [Text]

Email Address: [Text]

Highest Educational Qualification: [] SPM [] STPM [] Diploma [] Degree [] Post-graduate [] Others (please specify)

C. TYPES OF CONSULTANT

Category of Consultant: [] Agent [] Employee (please complete Section D)

Apprenticeship Program: [] Yes [] No (applicable for Corporate Unit Trust Adviser only)

Name of UTMC/IUTA/CUTA: [Grid]

FIMM Member Code: [Grid]

D. PARTICULARS OF DEPARTMENT AND DISTRIBUTION POINT (For Employee only)

Department: [] Sales and Marketing [] Training [] Customer Service [] Compliance [] Others (please specify)

Distribution Point Code: [Grid]

Distribution Point Name: [Grid]

Address: [Grid] Post Code [Text] State [Text]

E. PARTICULARS OF COMPUTERIZED UNIT TRUST EXAMINATION

[] First Time [] Resit Note: please provide date of last CUTE

Language: [] English [] B Malaysia [] Chinese

Examination Location & Examination Centre Code: [] Alor Setar (ISYSKDas) [] Kota Kinabalu (I-SKILLSBKK) [] Petaling Jaya 3 (ARCNET A/C) [] Ipoh (OLYMPRIPI) [] Kuala Terengganu (TMTRKT) [] Petaling Jaya 2 (TESSRDSPJ) [] Johor Bahru (IWORLDJHJB) [] Kuantan (KPTMPHKN) [] Seremban (OUMNSSM) [] Klang Valley (ARC�WPKL Room 1) [] Kuching (ACSWKH) [] Sibru (ASIACOMSWSB) [] Klang Valley (ARC�WPKL Room 2) [] Melaka (STAMFLK) [] Tawau (OUMSBTW) [] Klang Valley (ARC�WPKL Room 3) [] Miri (BCOMSWMR) [] Kota Bahru (ARCNET A/CKB) [] Penang (IWORLDPGBL)

Session Time Code: 1 9.00am - 11.00am 2 12.00noon - 2.00pm 3 3.00pm - 5.00pm

<i>1st Preferred Examination Session:</i>	Examination Centre Code: <input type="text"/>	Examination Date: <input type="text"/>	Session Time: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3
<i>2nd Preferred Examination Session:</i>	Examination Centre Code: <input type="text"/>	Examination Date: <input type="text"/>	Session Time: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3
<i>3rd Preferred Examination Session:</i>	Examination Centre Code: <input type="text"/>	Examination Date: <input type="text"/>	Session Time: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3

F. INFORMATION FOR CUTE VERIFICATION PURPOSES (Please refer to note no. 7 below)

Father's Full Name:

G. TERMS AND CONDITIONS

General

1. Submission of Applications
The applicant must submit the completed application form accompanied with the pre-requisite documents to the UTMC, IUTA or CUTA that the applicant is affiliated to.

2. Variations
If FIMM finds any discrepancy in the details provided by the applicant in this application form and the details provided by the UTMC, IUTA or CUTA that the applicant is affiliated to in the I3 System, FIMM will treat the details submitted by the UTMC, IUTA or CUTA as final. FIMM will not be responsible for any data error that could result in the applicant being rejected for the registration as UTS Consultants

3. Payments
Fees must be made payable to the UTMC, IUTA or CUTA that the applicant is affiliated to.
Fees must **NOT** be made payable to FIMM.
Fees are non-refundable.

For CUTE Only

1. Submission of Applications
All application form must reach FIMM before the closing date of each CUTE session. FIMM will not accept any late entry. FIMM does not allow any applicant to make any alteration to examination entry after the closing date. FIMM may reject an applicant from sitting for the CUTE, if the applicant provides any false information or omit any information required for purposes of the CUTE.

2. Examination Location
The applicant is required to select the Examination Centre for any of the CUTE sessions in Section E of this application form. If the applicant fails to indicate the preferred Examination Centre in Section E, a location nearest to the mailing address on this application form will be selected on behalf by the UTMC, IUTA or CUTA that the applicant is affiliated to.

3. Examination Session
The applicant is required to indicate three (3) preferred CUTE sessions in Section E of this application form. If the applicant fails to indicate the three (3) preferred CUTE sessions in Section E, three (3) preferred CUTE sessions will be selected on behalf by the UTMC, IUTA or CUTA that the applicant is affiliated to.

4. Examination Timetable
The applicant is required to refer to the CUTE timetable for the dates of available CUTE sessions. For detailed information, please contact the person in charge of the UTMC, IUTA or CUTA that the applicant is affiliated to.

5. Examination Notification
The applicant may obtain Candidate Examination Number from the UTMC, IUTA or CUTA that the applicant is affiliated to.

6. Postponement/Withdrawal of Examination
The applicant is **NOT ALLOWED** to request for postponement of CUTE under any circumstances. If an applicant withdraws his/her application to sit for the CUTE or fails to present himself/herself for the CUTE, no full or part of the fee will be refunded.

7. Information for CUTE Verification Purposes
The applicant is required to provide father's full name in Section F of this application form for purposes of logging into the CUTE system during the CUTE sessions. Failure to log in the CUTE system due to data discrepancies, will lead to candidate concerned not being able to take the CUTE and therefore he/she will be treated as absent.

8. Variations
If FIMM finds any discrepancy in the details provided by the applicant in this application form and the details provided by the UTMC, IUTA or CUTA that the applicant is affiliated to in the I3 System, FIMM will treat the details submitted by the UTMC, IUTA or CUTA as final. FIMM will not be responsible for any data error that could result in the applicant being rejected to sit for the CUTE.

H. APPLICANT'S DECLARATION

- I declare that the information provided in this application form is true, correct and complete and that I am bound by the rules and regulations regarding the CUTE set by FIMM.
- Pursuant to the Personal Data Protection Act 2010, I confirm that I have read and understood FIMM's Personal Data Notice available on its website and I hereby consent for my personal data provided herein to be processed by FIMM for the purposes stated in the Personal Data Notice.
- Pursuant to the Credit Reporting Agencies Act 2010, I hereby expressly consent and authorise FIMM to obtain and/or disclose any Credit Information relating to me from and/or to Credit Reporting Agency or any source deemed appropriate and reasonable for the purposes of this application for registration with FIMM. This consent shall remain in effect during the period of registration with FIMM as UTS Consultant.

Applicant's signature _____
Date: - -

I. FOR FIMM USE ONLY

Remarks:	Date Processed for Examination:	FIMM No.:	Result: <i>(please tick where applicable)</i> <input type="checkbox"/> Passed <input type="checkbox"/> Failed <input type="checkbox"/> Absent
	Date Processed for Registration:	Date issued:	