

STATUTORY DECLARATION

Please tick (√) whichever is applicable on the boxes provided

 Unit Trust Scheme (UTS) Consultant
 Private Retirement Scheme (PRS) Consultant
 New Registration / Exemption
 New Registration / Exemption
 Former UTS Consultant / Vary Registration
 Former PRS Consultant / Vary Registration

I, _____, bearing NRIC/passport no. _____

of _____

act as a *UTS consultant and/or a *PRS consultant for _____ (the principal)

only; do solemnly and sincerely declare that:

1. I fulfill the eligibility requirements prescribed under the rules of the Federation of Investment Managers Malaysia (FIMM).
2. I am fit and proper as prescribed under Chapter 4 of FIMM's Consolidated Rules.
3. I have fulfilled the requirements of the continuing professional development (CPD) programme of FIMM, and obtained the requisite CPD points. **[Only applicable to former consultant or variation of registration].**
4. I have given my consent to the principal for my personal data to be disclosed to and used by FIMM for regulatory purposes, and undertake to keep my personal data updated by notifying the principal of any changes.
5. I understand and agree that FIMM may:
 - (a) require me to disclose and provide information and documents relating to any matter under the rules of FIMM;
 - (b) require my attendance at any meeting, proceeding or hearing of FIMM;
 - (c) disclose and provide information, documents and records concerning me, including any action taken and sanction imposed against me, to the Securities Commission Malaysia (SC) and other authorities or bodies for regulatory purposes;
 - (d) disclose, in the manner FIMM considers appropriate, my name and registration details to the public;
 - (e) publish, in the manner FIMM considers appropriate, any information regarding the suspension or revocation of my registration, actions taken and sanctions imposed against me, if any; and
 - (f) revoke my registration if this declaration is found to be, wholly or partly, false or misleading.
6. I undertake and agree to:
 - (a) at all times, be bound by and comply with FIMM Rules, securities laws and other applicable laws;
 - (b) timely and fully pay all fees and charges imposed by FIMM and do all things necessary for my registration with FIMM;
 - (c) provide all information and documents requested by FIMM for purposes of my registration;
 - (d) provide full cooperation and assistance to FIMM in all matters pertaining to my registration; and
 - (e) immediately notify the principal and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria prescribed by FIMM.

AND I make this solemn declaration conscientiously believing the same to be true, and by virtue of the provisions of the Statutory Declarations Act 1960.

Subscribed and solemnly declared
by the above named

_____ at

in the state of _____

this _____ day of _____, _____
(month) (year)

Applicant's signature

Before me,

Commissioner for Oaths

* Please strike off whichever not applicable

Effective Date 01/06/2016