



Please fill in using **BLOCK LETTERS** and (v) where appropriate.

In accordance with the requirements of the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the relevant Prospectus with the Product Highlights Sheet and/or Information Memorandum including any Supplemental Prospectus and/or Supplemental/Replacement Information Memorandum (collectively known as "Offering Document"). Applicants are required to read and understand the contents of the Offering Document before completing this form.

Subject to Terms & Conditions contained in the Offering Document, free switching is available between HLAM funds.

### 1. PARTICULARS OF TRANSFEROR (S) AND TRANSFER DETAILS

Unit holder Account No.:

Principal Applicant's Name / Name of Corporation (as per NRIC / Passport / Corporation Reg.):

Principal Applicant's NRIC No. / Passport No. / Corporation Reg. No.:  Contact No.:  -

Joint Applicant's Name (as per NRIC / Passport):

Joint Applicant's NRIC No. / Passport No.:  Contact No.:  -

Fund Name*	No. Of Units To Transfer	For Office Use Only	
		Ref No :	
		Date :	
		Processed By :	

\* Only applicable to Hong Leong Bond Fund. Please specify :  Ordinary Investment Plan  Standalone Investment Plan

### 2. PARTICULARS OF TRANSFEREE (S)

Unit holder Account No.:

Principal Applicant's Name / Name of Corporation (as per NRIC / Passport / Corporation Reg.):

Principal Applicant's NRIC No. / Passport No. / Corporation Reg. No.:  Contact No.:  -

Joint Applicant's Name (as per NRIC / Passport):

Joint Applicant's NRIC No. / Passport No.:  Contact No.:  -

### 3. SOPHISTICATED INVESTOR DECLARATION (APPLICABLE FOR WHOLESALE FUND ONLY)

**Important:** This section is mandatory for applicants who are investing and/or switching into a wholesale fund.

Applicant Declaration by Transferee		
For Principal Applicant (Individual)	For Joint Applicant (Individual)	For Entity Applicant (Non-Individual)
<input type="checkbox"/> High-Net-Worth Individual <input type="text"/>	<input type="checkbox"/> High-Net-Worth Individual <input type="text"/>	<input type="checkbox"/> High-Net-Worth Entity <input type="text"/>
<input type="checkbox"/> Accredited Investor <input type="text"/>	<input type="checkbox"/> Accredited Investor <input type="text"/>	<input type="checkbox"/> Accredited Investor <input type="text"/>
Notes:		
1. This section is applicable for wholesale funds only. 2. Please refer to www.hlam.com.my for the relevant Sophisticated Investor classification. 3. Please provide the relevant documents to support the corresponding classification.		

#### 4. DECLARATION

The Applicant hereby declares, confirms and agrees: -

- (a) that the information provided herein is accurate, complete and true and that the Applicant has not withheld any information which is material to the Applicant's application or could result in HLAM rejecting the Applicant's application;
- (b) that it is bound by the Application T&C contained in the Applicant's account opening application, and that the said Application T&C shall be read together with the terms set out herein. HLAM may vary, modify or amend any of the terms and conditions from time to time and it shall be deemed to be effected, where such change, amendment or variation was posted on the website www.hlam.com.my ("**Website**") - thirty (30) days after the date of such posting. The onus of checking the Website for any variations or supplements/supplemental terms shall rest with the Applicant; The continued investment by the Applicant with or through HLAM shall be deemed as the Applicant's acceptance of the prevailing terms and conditions.;
- (c) that the Applicant is aware of the fees and charges that the Applicant will incur, both directly and indirectly, when investing in the relevant fund;
- (d) that the Applicant has read the unit trust loan Financing Risk Disclosure Statement and understands its contents in the Application T&C (if applicable);
- (e) that the information provided in the Suitability Assessment ("**SA**"), Foreign Account Tax Compliance Act Declaration and Common Reporting Standard Declaration as provided by the Applicant is accurate, complete and true and that the Applicant will immediately notify HLAM if there is any change in the information;
- (f) that for the purposes of investment in wholesale funds, the Applicant is a Sophisticated Investor (if applicable); and
- (g) that (if the Applicant is an existing account holder);
  - there have been no changes** to the Applicant's information provided in the previous Applicant's suitability assessment.
  - there have been changes** to the Applicant's information provided in the previous Applicant's suitability assessment in which case the Applicant must complete a new SA.

Signature of Transferor(s):

Signature of Transferee(s):



Signature of Principal Applicant/  
Authorised Signatory

Signature of Joint Applicant/  
Authorised Signatory

Signature of Principal Applicant/  
Authorised Signatory

Signature of Joint Applicant/  
Authorised Signatory

Date